Town of Prescott Valley
Arizona

Investment Report

To the Town Council

Presented on January 10, 2013

Covering the Highlights of the Investment Activities of the Town Government During

The Month of November 2012

More detailed information will be furnished upon request to the Town Manager's Office

Prepared by the Town of Prescott Valley Management Services Department

Town of Prescott Valley
Investment Report
For the Month Ended November 30, 2012

DESCRIPTION	RATING	PURCHASE DATE	PAR VALUE	MATURITY DATE	CALL DATE	COUPON	YIELD TO MATURITY	BOOK VALUE	ACCRUED INTEREST	% OF TOTAL	INTEREST EARNED
Local Government Investment Pool (LGIP)											
Arizona State Treasurer's Office	n/a	n/a	n/a	n/a	n/a	n/a	0.15%	$ 3,117,009.55	$ -	12.97%	$ 404.11
Certificates of Deposit (CD)											
Liberty Bank of Arkansas	n/a	10/21/10	250,000	04/22/13	n/a	-	0.75%	245,391.35	-	1.02%	4,020.83
United Bank of Iowa	n/a	11/04/10	250,000	05/03/13	n/a	-	0.69%	245,707.20	-	1.02%	3,632.08
GE Capital Financial Inc.	n/a	10/21/10	250,000	10/21/13	n/a	-	1.00%	242,712.00	-	1.01%	5,361.11
First Credit Bank	n/a	11/04/10	250,000	11/04/13	n/a	-	0.94%	243,066.30	-	1.01%	4,948.06
Discover Bank	n/a	10/21/10	250,000	04/21/14	n/a	-	1.10%	240,728.35	-	1.00%	5,897.22
Luana Savings Bank	n/a	11/04/10	250,000	05/05/14	n/a	-	1.03%	241,134.83	-	1.00%	5,421.81
First Financial Bank USA	n/a	10/21/10	250,000	10/20/14	n/a	-	1.30%	237,642.60	-	0.99%	6,969.44
First Republic Bank	n/a	11/04/10	250,000	11/03/14	n/a	-	1.22%	238,095.25	-	0.99%	6,421.94
Amex Centurion Bank	n/a	10/30/12	250,000	07/27/15	n/a	-	1.00%	250,000.00	34.25	1.04%	222.22
American National Bank - Fox C	n/a	11/04/10	250,000	11/02/15	n/a	-	1.50%	232,027.73	-	0.97%	7,895.83
BMW Bank of North America	n/a	11/02/12	250,000	11/02/15	n/a	-	0.95%	250,000.00	-	1.04%	191.32
SAFRA National Bank Interest	n/a	11/14/12	250,000	11/16/15	n/a	-	0.80%	250,000.00	-	1.04%	94.44
Ally Bank	n/a	05/02/12	250,000	05/02/16	n/a	-	1.25%	250,000.00	-	1.04%	1,848.96
Cit Bank	n/a	05/16/12	250,000	05/16/16	n/a	-	1.10%	250,000.00	-	1.04%	1,520.14
State Bank of India	n/a	04/30/12	250,000	04/27/17	n/a	-	2.00%	250,000.00	41.10	1.04%	2,986.11
Goldman Sachs Bank USA	n/a	05/02/12	250,000	05/02/17	n/a	-	1.85%	250,000.00	-	1.04%	2,736.46
Federal Home Loan Mortgage Corporation (FHLMC)											
Freddie Mac	AAA	10/19/12	1,000,000	11/01/16	05/01/13	0.63	0.63%	1,000,000.00	17.36	4.16%	746.53
Freddie Mac	AAA	02/27/12	1,000,000	02/27/17	02/27/15	1.00	1.00%	1,000,000.00	-	4.16%	7,722.22
Freddie Mac	AAA	05/09/12	1,000,000	05/09/17	05/09/14	1.15	1.15%	1,000,000.00	-	4.16%	6,580.56
Federal National Mortgage Association (FNMA)											
Fannie Mae	AAA	02/24/12	1,000,000	02/24/15	02/24/14	0.75	0.75%	1,005,340.00	-	4.18%	5,854.17
Fannie Mae	AAA	05/24/12	1,000,000	11/24/15	02/24/14	0.75	0.75%	1,000,000.00	-	4.16%	3,979.17
Fannie Mae	AAA	03/07/12	2,000,000	12/05/16	03/05/14	1.00	1.00%	2,000,000.00	-	8.32%	14,944.44
Fannie Mae	AAA	03/08/12	1,000,000	03/08/17	03/08/13	0.75	0.75%	1,000,000.00	-	4.16%	5,583.33
Fannie Mae	AAA	04/09/12	1,000,000	03/13/17	03/03/14	1.25	1.25%	998,000.00	-	4.15%	8,194.44
Fannie Mae	AAA	05/24/12	1,000,000	05/24/17	05/24/13	0.75	0.75%	1,000,000.00	-	4.16%	3,979.17
Federal Farm Credit Banks (FFCB)											
Federal Farm Credit Banks	AAA	03/19/12	1,000,000	03/19/15	03/19/13	0.58	0.58%	1,000,000.00	-	4.16%	4,140.56
Federal Farm Credit Banks	AAA	11/05/12	1,000,000	11/05/15	11/05/13	0.50	0.50%	1,000,000.00	-	4.16%	361.11
Federal Farm Credit Banks	AAA	10/11/12	1,000,000	10/11/16	10/11/13	0.60	0.60%	998,000.00	200.00	4.15%	850.00
Federal Farm Credit Banks	AAA	10/19/12	2,000,000	04/11/17	01/11/13	0.70	0.70%	1,998,000.00	311.11	8.31%	1,672.22
Temporary Liquidity Guarantee Program (TLGP)											
Temporary Liquidity Guarantee Program	AAA	04/07/09	2,000,000	12/26/12	n/a	2.13	2.13%	1,999,954.00	-	8.32%	157,560.22
Total Investments							**1.01%**	**$ 24,032,809.16**	**$ 603.82**	**100.00%**	**$ 282,740.24**

Current Average United States 90 Day Treasury Bill Rate **0.09%**

Town of Prescott Valley
Investment Report
For the Month Ended November 30, 2012

Description	Avg. Rate	Balance 11/30/12	% of Total	Balance 11/30/11
Local Government Investment Pool	0.15%	$ 3,117,009.55	12.97%	$ 3,111,194.34
Certificates of Deposit	1.16%	3,916,505.61	16.30%	3,387,897.99
Federal Home Loan Mortgage Corp	0.93%	3,000,000.00	12.48%	3,000,000.00
Federal National Mortgage Association	0.88%	7,003,340.00	29.14%	7,999,500.00
Federal Farm Credit Banks	0.60%	4,996,000.00	20.79%	2,000,000.00
Federal Home Loan Banks	0.00%	-	0.00%	2,000,000.00
Temporary Liquidity Guarantee Program	2.13%	1,999,954.00	8.32%	1,999,954.00
Total Investments		$ 24,032,809.16	100.00%	$ 23,498,546.33

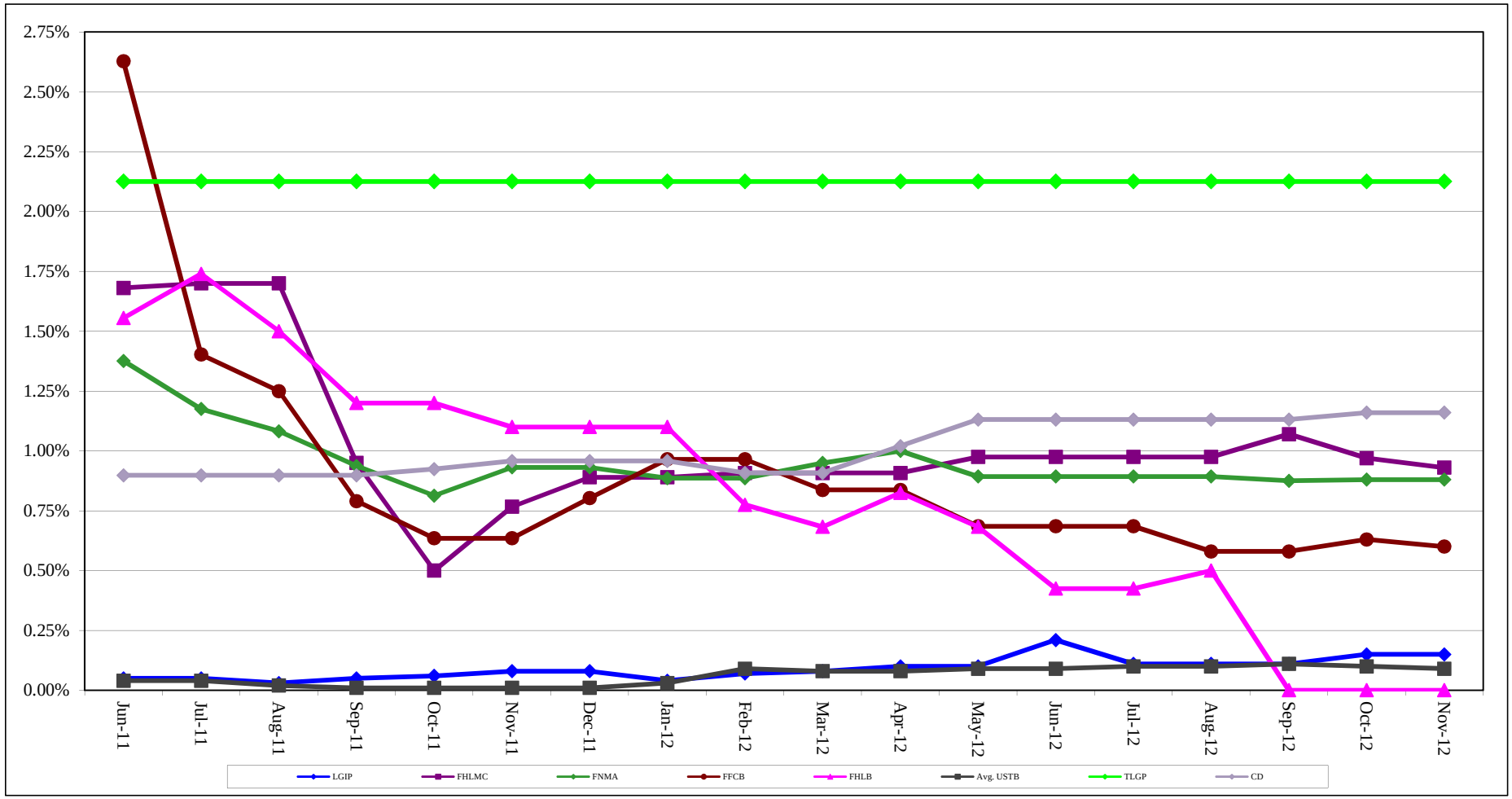
Agency	Total $	Total %
FTN Financial	$ 9,164,505.61	38.13%
Local Government Investment Pool	3,117,009.55	12.97%
Stone & Youngberg	9,001,294.00	37.45%
Wells Fargo	2,750,000.00	11.44%
	$ 24,032,809.16	100.00%

*Not to exceed 40% of the total.

Description	Date	Book Value	Activity
	Beginning Balance	$ 24,780,182.60	
Certificates of Deposit	11/05/12	(247,027.55)	Maturity
Certificates of Deposit	11/02/12	250,000.00	Purchase
Certificates of Deposit	11/14/12	250,000.00	Purchase
Freddie Mac	11/07/12	(1,000,000.00)	Full Call
Freddie Mac	11/29/12	(1,000,750.00)	Full Call
Federal Farm Credit Banks	11/05/12	1,000,000.00	Purchase
Local Government Investment Pool	11/30/12	404.11	Interest Earned
	Ending Balance	$ 24,032,809.16	

Note 1: Par value is also known as the redemption value.

Note 2: Debt securities which are not new issues on the purchase date require the accrued interest earned to date be purchased.

Note 3: The Town classifies its debt securities as held-to-maturity. A debt security should be classified as held-to maturity only if the reporting entity has both (1) the positive intent and (2) the ability to hold those securities to maturity. In accordance with the Town's Investment Policy, securities shall not be sold prior to maturity with the following exceptions; (1) a security with declining credit may be sold early to minimize loss of principal (2) liquidity needs of the portfolio require that the security be sold (3) market conditions present an opportunity for the Town to benefit from the sale.

Town of Prescott Valley
Average Rates
For the Month Ended November 30, 2012